



CITY OF LODI

COUNCIL COMMUNICATION

AGENDA TITLE: Adopt a Resolution Ratifying the San Joaquin Council of Governments' Annual Financial Plan Implementation for Fiscal Year 2002/03

MEETING DATE: June 5, 2002

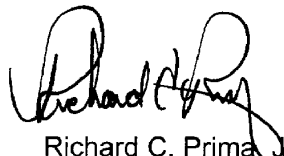
PREPARED BY: Public Works Director

RECOMMENDED ACTION: That the City Council adopt a resolution ratifying the 2002/03 Annual Financial Plan (AFP) for the San Joaquin County Council of Governments (COG).

BACKGROUND INFORMATION: Attached is a summary of COG's AFP for fiscal year 2002/03. The COG Board adopted the AFP on March 28, 2002. Pursuant to COG's Joint Powers Agreement, the budget is to be ratified by the City of Lodi following adoption by the COG Board.

Staff would like to acknowledge both the importance of the work COG performs and the significant and excellent assistance their staff provides in delivering transportation projects in the City and the entire County.

FUNDING: Not applicable.


Richard C. Prima, Jr.
Public Works Director

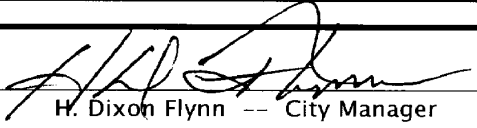
Prepared by Rebecca Areida, Management Analyst

RCP/RA/lm

Attachment

cc: Randy Hays, City Attorney
Steve Dial, Dir. of Adm./Chief Financial Officer, COG

APPROVED: _____


H. Dixon Flynn -- City Manager

COGANNFINPLN

05/24/02



SAN JOAQUIN COUNCIL OF GOVERNMENTS

6 South El Dorado St., Suite 400 • Stockton, California 95202

209.468.3913 • 209.468.1084 (fax)

www.sjcog.org

RECEIVED

APR 29 2002



CITY OF L

PUBLIC WORKS DEPT.

APR 29 2002
City Manager's Office

April 26, 2002

Michael P. Restuccia
CHAIRMAN

Jack Siegluck
VICE CHAIRMAN

Julia E. Greene
EXECUTIVE DIRECTOR

Member Agencies

CITIES OF
ESCALON,
LATHROP,
LODI,
MANTEGA,
RIPON,
STOCKTON,
TRACY,
AND
THE COUNTY OF
SAN JOAQUIN

Mr. Dixon Flynn
City of Lodi
P.O. Box 3006
Lodi, CA 95241-1910

Dear Mr. Flynn:

Enclosed is a summary of the San Joaquin Council of Governments' Annual Financial Plan (AFP) for Fiscal Year 2002-2003. The Board unanimously adopted the Plan on March 28, 2002. A copy of the adopting resolution is enclosed. Pursuant to SJCOG's Joint Powers Agreement, following adoption of the budget by the SJCOG Board, it is to be delivered to member agencies for ratification by each governing body. Therefore, I request that you place SJCOG's Annual Financial Plan for Fiscal Year 2002-2003 on a forthcoming agenda prior to June 30, 2002 for your Council's approval.

The Annual Financial Plan implements the FY 2002-2003 Overall Work Program (OWP) which will be adopted in its final form by the SJCOG Board on May 23, 2002.

The enclosed summary identifies revenues and expenditures anticipated for the year including both operating and pass-through revenues and expenditures. Pass-through are funds over which SJCOG has fiduciary responsibility such as Transportation Development Act, TEA-21 and the majority of Measure K funds. The summary compares the FY 2002-2003 budget with the FY 2001-2002 amended budget and actual expenditures for FY 2000-2001.

The following assumptions are incorporated in the budget:

1. Work will not begin and expenses will not be incurred unless anticipated revenues sources that are attained i.e., Air District grants, Freeway Service Patrol, etc.
2. We anticipate being fully staffed for the entire fiscal year, therefore the Salaries and Benefits cost category is fully funded.
3. The SJCOG Board approved a pool not to exceed \$104,000 to be used for "Pay for Performance" raises based upon annual evaluations by the Executive Director.

LINE ITEMS WITH SIGNIFICANT CHANGE

Salaries and Benefits group increases 5.6%. No additional positions have been approved.

Services and Supplies increases 1.7

1. Office Expenses decreases 0.2%.
2. Memberships increases 6.0% due to costs to maintain memberships with various organizations.
3. Transportation, Travel & Training is increases 7.4%. This is the first increase in 3 years.
4. Publications and Legal Notices decreases 2.3%.
5. Insurance is budgeted to increase 15.1%. SJCOG has been told by its insurers these premiums are going up.
6. Building Maintenance increases 0.9%.
7. Rents-Structures and Grounds increases 0.4%.
8. Professional Services decreases by 86.3%. The reflects the conclusion of a number of planning projects.
9. Fixed Assets increases 10.0% in anticipation of acquiring office furniture and computer hardware.

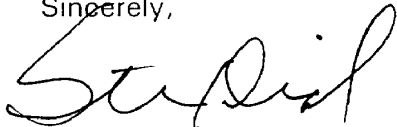
Overall, the budget decreases nearly \$1,000,000 or 23.8% from \$5,233,584 to \$4,226,052

SJCOG staff would be pleased to appear before your policymakers to answer any questions they might have regarding this matter. **We request ratification prior to June 30, 2002.**

Please let me know when this will be appearing before the Council. If you have any questions regarding this matter, don't hesitate to contact me at 468-3913.

Thank you for your assistance.

Sincerely,



STEVE DIAL

Director of Administration/Chief Financial Officer

Enclosure

San Joaquin Council of Governments
ANNUAL FINANCIAL PLAN
Adopted March 28, 2002

CHAIR

Mike Restuccia, Councilmember, City of Ripon

VICE-CHAIR

Jack Sieglock, Supervisor, County of San Joaquin

BOARD OF DIRECTORS

Chairman Victor Mow	County of San Joaquin
Mayor Dan Bilbrey	City of Tracy
Councilmember David Ennis	City of Escalon
Councilmember Gloryanna Rhodes	City of Lathrop
Mayor Phillip Pennino	City of Lodi
Councilmember John Harris	City of Manteca
Mayor Gary Podesto	City of Stockton
Councilmember Gary Giovanetti	City of Stockton

EX OFFICIO DIRECTORS

Mark Leja	Caltrans District 10
Duane Isetti	San Joaquin Regional Transit District
Robert Kavanaugh	Port of Stockton

SUBMITTED BY:

Julia E. Greene
Executive Director

Steve Dial
Director of Administration/
Chief Financial Officer

San Joaquin Council of Governments
ANNUAL FINANCIAL PLAN
Fiscal Year 2002/03
Adopted March 28, 2002

REVENUES	FY 2000-2001 Actual	FY 2001-02 Amend. 1 1/24	FY 2002-03 Adopted 3/28/02	% Change
Federal Grants	\$1,063,003	\$2,560,733	\$1,791,360	-42.9%
State Grants	\$884,933	\$720,551	\$602,224	-19.6%
Local	\$1,856,582	\$1,902,300	\$1,792,500	-6.1%
Interest	\$97,577	\$0	\$40,000	0.0%
Other	\$0	\$50,000	\$0	0.0%
SJCOG OPERATING REVENUES	\$3,902,095	\$5,233,584	\$4,226,084	-23.8%
Federal Pass-Through	\$54,226,000	\$54,226,000	\$30,500,000	-77.8%
State Pass-Through	\$1,026,986	\$1,689,939	\$1,139,756	-48.3%
Local Pass-Through	\$60,714,365	\$52,210,000	\$53,003,000	1.5%
Commercial Paper	\$75,000,000	\$89,000,000	\$89,000,000	0.0%
TOTAL SJCOG REVENUE	\$194,869,446	\$202,359,523	\$177,868,840	-13.8%
EXPENDITURES				
Salaries & Benefits	\$1,695,713	\$1,873,442	\$1,984,852	5.6%
Services & Supplies	\$597,455	\$807,176	\$821,500	1.7%
Office Expense	\$214,594	\$238,500	\$238,000	-0.2%
Communications	\$21,771	\$36,100	\$36,100	0.0%
Memberships	\$22,333	\$28,200	\$30,000	6.0%
Maintenance - Equipment	\$10,942	\$26,000	\$26,000	0.0%
Rents & Leases - Equipment	\$107,631	\$134,400	\$134,400	0.0%
Transportation, Travel & Training	\$79,301	\$92,600	\$100,000	7.4%
Allocated Service Cost	\$6,023	\$13,000	\$13,000	0.0%
Publications & Legal Notices	\$17,189	\$22,500	\$22,000	-2.3%
Insurance	\$23,438	\$29,700	\$35,000	15.1%
Building Maintenance	\$15,575	\$21,800	\$22,000	0.9%
Rents - Structures & Grounds	\$78,658	\$164,376	\$165,000	0.4%
Professional Services	\$1,574,827	\$2,458,171	\$1,319,200	-86.3%
Fixed Assets	\$14,955	\$90,500	\$100,500	10.0%
Unallocated/Reserve	\$19,145	\$4,295	\$0	0.0%
SJCOG OPERATING EXPENDITURES	\$3,902,095	\$5,233,584	\$4,226,052	-23.8%
Pass-Through	\$174,731,040	\$197,125,939	\$173,642,756	-13.5%
TOTAL SJCOG EXPENDITURES	\$194,869,446	\$202,359,523	\$177,868,840	-13.8%
Excess (Deficit) Revenues Over Expenditures (Operating)	(\$0)	\$0	\$32	



RESOLUTION
SAN JOAQUIN COUNCIL OF GOVERNMENTS

R-02-31

RESOLUTION APPROVING THE ADOPTION OF FY 2002-2003
ANNUAL FINANCIAL PLAN
FOR THE SAN JOAQUIN COUNCIL OF GOVERNMENTS

WHEREAS, annually the San Joaquin Council of Governments acting as the Metropolitan Planning Agency, and the Regional Transportation Planning Agency for San Joaquin County adopts an Annual Financial Plan detailing proposed expenditures for the fiscal year ; and

WHEREAS, the Annual Financial Plan is constrained by the resources available, to carry out and fund the work scheduled in the fiscal year.

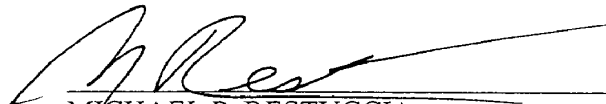
NOW THEREFORE BE IT RESOLVED that the San Joaquin Council of Governments does hereby approve the fiscal year 2002/2003 Annual Financial Plan and forward such to its member governments for their review and ratification.

PASSED AND ADOPTED this 28th day of March 2002 by the following vote of the San Joaquin Council of Governments, to wit:

AYES: Mayor Bilbrey, Councilman Giovanetti, Councilman Griffith,
Councilman Harris, Mayor Pennino, Mayor Podesto,
Supervisor Sieglock, Chairman Restuccia

NOES: None

ABSENT: Councilman Ennis, Supervisor Mow


MICHAEL P. RESTUCCIA
Chairman

RESOLUTION NO. 2002-123

A RESOLUTION OF THE LODI CITY COUNCIL RATIFYING
THE SAN JOAQUIN COUNCIL OF GOVERNMENTS (SJCOG)
2002/2003 ANNUAL FINANCIAL PLAN

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WHEREAS, the San Joaquin Council of Governments (SJCOG) has approved its Fiscal Year 2002/03 Budget by adopting Resolution No. R-02-31 as shown on the attached marked Exhibit A; and

WHEREAS, the San Joaquin Council of Governments (SJCOG) is required to forward such Resolution to its member Governments for their review and ratification.

NOW, THEREFORE, BE IT RESOLVED, that the Lodi City Council hereby ratifies the 2002/03 Annual Financial Plan for the San Joaquin Council of Governments (SJCOG).

Dated: June 5, 2002

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I hereby certify that Resolution No. 2002-123 was passed and adopted by the Lodi City Council in a regular meeting held June 5, 2002 by the following vote:

AYES: COUNCIL MEMBERS – Hitchcock, Howard, Land, Nakanishi, and Mayor Pennino

NOES: COUNCIL MEMBERS – None

ABSENT: COUNCIL MEMBERS – None

ABSTAIN: COUNCIL MEMBERS – None


SUSAN J. BLACKSTON
City Clerk



EXHIBIT A

RESOLUTION SAN JOAQUIN COUNCIL OF GOVERNMENTS

R-02-31

RESOLUTION APPROVING THE ADOPTION OF FY 2002-2003 ANNUAL FINANCIAL PLAN FOR THE SAN JOAQUIN COUNCIL OF GOVERNMENTS

WHEREAS, annually the San Joaquin Council of Governments acting as the Metropolitan Planning Agency, and the Regional Transportation Planning Agency for San Joaquin County adopts an Annual Financial Plan detailing proposed expenditures for the fiscal year ; and

WHEREAS, the Annual Financial Plan is constrained by the resources available, to carry out and fund the work scheduled in the fiscal year.

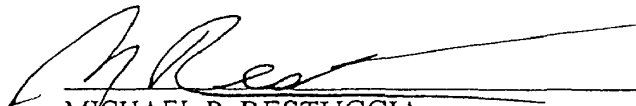
NOW THEREFORE BE IT RESOLVED that the San Joaquin Council of Governments does hereby approve the fiscal year 2002/2003 Annual Financial Plan and forward such to its member governments for their review and ratification.

PASSED AND ADOPTED this 28th day of March 2002 by the following vote of the San Joaquin Council of Governments, to wit:

AYES: Mayor Bilbrey, Councilman Giovanetti, Councilman Griffith,
Councilman Harris, Mayor Pennino, Mayor Podesto,
Supervisor Sieglock, Chairman Restuccia

NOES: None

ABSENT: Councilman Ennis, Supervisor Mow


MICHAEL P. RESTUCCIA
Chairman

CITY COUNCIL

PHILLIP A. PENNINO, Mayor
SUSAN HITCHCOCK
Mayor Pro Tempore
EMILY HOWARD
KEITH LAND
ALAN S. NAKANISHI

CITY OF LODI
PUBLIC WORKS DEPARTMENT

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EMAIL pwdept@lodi.gov
<http://www.lodi.gov>

H. DIXON FLYNN
City Manager
SUSAN J. BLACKSTON
City Clerk
RANDALL A. HAYS
City Attorney
RICHARD C. PRIMA, JR.
Public Works Director

May 29, 2002

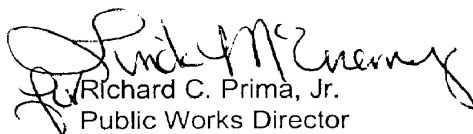
Mr. Steve Dial
Director of Administration/Chief Financial Officer
San Joaquin Council of Governments
6 South El Dorado Street, Suite 400
Stockton, CA 95202

SUBJECT: Adopt a Resolution Ratifying the San Joaquin Council of Governments'
Annual Financial Plan Implementation for Fiscal Year 2002/03

Enclosed is a copy of background information on an item on the City Council agenda of Wednesday, June 5, 2002. The meeting will be held at 7 p.m. in the City Council Chamber, Carnegie Forum, 305 West Pine Street.

This item is on the regular calendar for Council discussion. Please plan to attend, or have a staff member attend, to give the Council a brief presentation. Please fill out a speaker's card (available at the Carnegie Forum immediately prior to the start of the meeting) and give it to the City Clerk. If you have any questions about communicating with the Council, please contact Susan Blackston, City Clerk, at 333-6702.

If you have any questions about the item itself, please call Rebecca Areida, Management Analyst, at 333-6800, ext. 2658.


Richard C. Prima, Jr.
Public Works Director

RCP/lm

Enclosure

cc: City Clerk ✓